12-03-PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0199

EXPRESS MAIL CERTIFICATE Label N I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for deliver to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Signature

उत्पंचा क्राप्ट्रिम्इtomer No.:

PATENT TRADEMARK OFFICE

Docket No.: 0630/1G704US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Douglas C. Harnish; Marshall Scicchitano;

Sotirios K. Karathanasis;

Chu-Lai Hsiao

EXPRESS MAIL CERTIFICATE4 8 I nereby certify that, on the date indicated above, this paper or

fee was deposited with the U.S. Postal Service & that it was andressed for delivery to the Assistant Commissioner for of

Patents Tracements, 2900 Crystal Drive, Arlington, VA 22202-3513

by "Express Mail Post Office to Addressee" service.

Serial No.:

09/924,944

Confirmation No.: TBA

Filed:

08/08/01

For:

ASSAY TO IDENTIFY ESTROGEN RECEPTOR DEPENDENT LIGANDS

THAT REGULATE THE HEPATIC LIPASE PROMOTER

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested

that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

This submission is filed within three months of the filing of the application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner

Docket No. 0630/1G704US2

determines that a fee is due, the Commissioner is hereby authorized to charge the above deposit account for any deficiency.



ì

Early and favorable consideration is earnestly solicited.

Dated: November 20, 2001

Neepa Y. Choksi, Ph.D. Registration No. 47,488 Agent for Applicant(s)

Respectfully submitted,

DARBY & DARBY 805 Third Avenue New York, NY 10022 (212) 527-7700